

JC973  
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U.S. PTOPlease type a plus sign (+) inside this box → 

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	01RE192 REEL:0028	Total Pages	48
First Named Inventor or Application Identifier			
C. W. Luttrell			
Express Mail Label No.	EL 652 336 474 US		

JC973  
09/28/01  
09/28/01  
A  
09/28/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification **Total Pages 22**  
(preferred arrangement set forth below)
  - Descriptive
  - Cross References to Related Application
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 USC 113) **Total Sheets 6**  
**Total Pages 18**
4. Oath or Declaration
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
*[Note Box 5 below]*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))
9.  37 CFR 3.73(b) Statement  Power of Attorney  
(where there is an assignee)
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)
14.  Small Entity  Statement filed in prior application  
Statement(s) Status still proper and desired
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other

17  Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_/\_\_\_\_\_**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

NAME	Alexander M. Gerasimow Allen-Bradley Company, LLC				
ADDRESS	1201 South Second Street				
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL**

		Complete if Known			
		Application Number		unassigned	
		Filing Date		herewith	
		First Named Inventor		C. W. Luttrell	
		Group Art Unit		unknown	
		Examiner Name		unknown	
TOTAL AMOUNT OF PAYMENT	(\$)	1,090.00		Attorney Docket Number	01RE192/REEL:0028/YOD

**METHOD OF PAYMENT** (check one)

<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to.
	<b>01-0857/01RE192/REEL:0028/YOD</b>
Deposit Account Number	
Deposit Account Name	Rockwell Automation Technologies, LLC

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17       Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.31(b)

2.  Payment Enclosed: Check     Money Order     Other**FEE CALCULATION** (fees effective 10/01/96)**1. FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
101	690	201	395
106	330	206	165
107	540	207	270
108	790	208	395
114	150	214	75
<b>SUBTOTAL (1)</b>		<b>(\$)</b>	<b>710.00</b>

**2. CLAIMS**

	Extra	Fee from below	Fee Paid
Total Claims	30 - 20 =	10 X 18 =	180.00
Independent Claims	5 - 3 =	2 X 80 =	160.00

Large Entity		Small Entity	
Fee Code	Fee (\$)	Fee Code	Fee (\$)
103	18	203	11
102	78	202	41
104	270	204	135
109	82	209	41
110	22	210	11
<b>SUBTOTAL (2)</b>		<b>(\$)</b>	<b>340.00</b>

**3. ADDITIONAL FEES**

Large Entity Code	Entity Fee (\$)	Small Entity Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	—
127	50	227	25	Surcharge - late provisional filing or cover sheet	—
139	130	139	130	Non-English specification	—
147	2,520	147	2,520	For filing a request for reexamination	—
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	—
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	—
115	110	215	55	Extension for response within first month	—
116	400	216	200	Extension for response within second month	—
117	950	217	475	Extension for response within third month	—
118	1,570	218	755	Extension for response within fourth month	—
119	310	219	155	Notice of Appeal	—
120	310	220	155	Filing a brief in support of an appeal	—
121	270	221	135	Request for oral hearing	—
138	1,510	138	1,510	Petition to institute a public use proceeding	—
140	110	240	55	Petition to revive unavoidably abandoned application	—
141	1,320	241	660	Petition to revive unintentionally abandoned application	—
142	1,320	242	660	Utility issue fee (or reissue)	—
143	450	243	225	Design issue fee	—
144	670	244	335	Plant issue fee	—
122	130	122	130	Petitions to the Commissioner	—
123	50	123	50	Petitions related to provisional applications	—
126	240	126	240	Submission of Information Disclosure Stmt	—
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	790	246	395	Filing a submission after final rejection (37 CFR 1.129(a))	—
149	790	249	395	For each additional invention to be examined (37 CFR 1.129(b))	—
<b>Other fee (specify)</b>		—			
<b>Other fee (specify)</b>		—			
<b>SUBTOTAL (3)</b>		<b>(\$)</b>	<b>40.00</b>		

\* Reduced by Basic Filing Fee Paid

SUBMITTED BY		Complete (if applicable)			
Typed or Printed Name	Patrick S. Yoder	Reg. Number	37,479		
Signature		Date	September 28, 2001	Deposit Acct. User ID	01-0857/01RE192 (REEL:0028)